

## Information Update – Governance & Audit Ethics Hotline Summary Report

**To:** Chair and Board of Directors  
**Through:** President/CEO Inez P. Evans  
**From:** Deputy of Governance & Audit Brian Atkinson  
**Date:** June 16, 2021

### GOVERNANCE & AUDIT ETHICS HOTLINE SUMMARY REPORT

## Ethics Hotline Summary Report

Quarter Activity Summary: 4/01/21 through 6/30/21

Method of Submission	Number of Reports
Website	1
Phone call	0
Other	0
<b>Total</b>	<b>1</b>

Current Period Potential Reported Issues	Number
Ethics Violations	0
<b>Other Issues</b>	
Waste or Inefficiency	0
Fraud	0
Policy Violation	0
Employee Conduct/Abuse	0
Theft	0
Customer Service	0
HR Issues	1
<b>Total</b>	<b>1</b>

12-Month Activity Summary beginning Q4, 2020											
2	1	0	2	0	0	0	1	0	0	0	0
Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21

Current Status	Total	1-30 days	31-60 days	60+ days
Preliminary Assessment	0			
Investigation	0			
Completed	1			
<b>Total Claims</b>	<b>1</b>			

**RECOMMENDATION:**

Receive the report.

Brian Atkinson  
 Deputy of Governance & Audit